

06-11-01

DOCKET NO.: 19603/2921 (CRF-D-2484A)
EXPRESS MAIL NO.: EG741023243US

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06/08/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 46 pages) of:

Inventor(s): **Min Lu and Hong Ji**

For : **AN ANTIGEN FOR DEVELOPING NEUTRALIZING ANTIBODIES TO
HUMAN IMMUNODEFICIENCY VIRUS**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. _____

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☒ 8 sheets of informal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (_____ pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (_____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (_____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (_____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (_____ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (_____ pages) and _____ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (_____ pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☒ Other: Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i)


The Filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	48 - 20 =	28	x 9 =	\$252	OR	x 18 =	\$
INDEP CLAIMS	4 - 3 =	1	x 40 =	\$ 40	OR	x 80 =	\$
[X] MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$135	OR	x270 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$782	OR	TOTAL	\$

- ☒ A check in the amount of **\$782.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603

Date: June 8, 2001


Ann R. Pokalsky
Registration No. 34,697

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (716) 263-
Facsimile: (716) 263-1600

ARP/mm

EXPRESS MAIL CERTIFICATE

DOCKET NO. : 19603/2921 (CRF-D-2484A)

APPLICANT(S) : MIN LU AND HONG JI

TITLE : AN ANTIGEN FOR DEVELOPING NEUTRALIZING
ANTIBODIES TO HUMAN IMMUNODEFICIENCY VIRUS

Certificate is attached to the **Utility Patent Application Transmittal Form**
(2 pages) (in duplicate) of the above-named application.

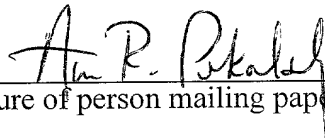
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DATE OF DEPOSIT: JUNE 8, 2001

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Washington, D.C. 20231, **Box: Patent Application.**

Ann R. Pokalsky

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above-named application.

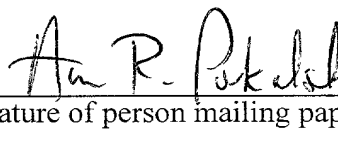
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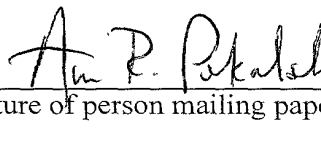
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	: Min Lu et al.)	
Serial No.	: To Be Assigned)	Examiner:
Filed	: Herewith)	Unknown
For	: An Antigen for Developing Neutralizing)	Art Unit:
	Antibodies to Human Immunodeficiency Virus)	Unknown

Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i)

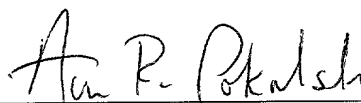
Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectively submitted,

Date: June 8, 2001


Ann R. Pokalsky
Registration No. 34,697

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (716) 263-1304
Facsimile: (716) 263-1600

ARP/mm

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the application **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

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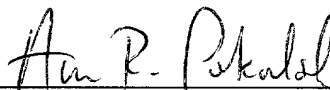
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TITLE : **AN ANTIGEN FOR DEVELOPING NEUTRALIZING
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Certificate is attached to the **Informal Drawings (8 pages)** of the
above-named application.

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